

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF GEORGIA  
ATLANTA DIVISION**

**SECURITIES AND EXCHANGE  
COMMISSION,**

**Plaintiff,**

**v.**

**BENJAMIN DANIEL DEHAAN and  
LIGHTHOUSE FINANCIAL  
PARTNERS, LLC,**

**Defendants**

**Civil Action File No.**

**1:12-CV-1996-TWT**

**ORDER OF PRELIMINARY INJUNCTION AS TO DEFENDANTS  
DEHAAN AND LIGHTHOUSE, AND ORDER FREEZING ASSETS,  
REQUIRING AN ACCOUNTING AND OTHER ANCILLARY RELIEF**

The Securities and Exchange Commission having filed a Complaint and Defendants Benjamin Daniel DeHaan (“DeHaan”) and Lighthouse Financial Partners, LLC (“Lighthouse”) each having entered a general appearance; consented to the Court’s jurisdiction over them and the subject matter of this action; consented to entry of this Order of Preliminary Injunction without admitting or denying the allegations of the Complaint (except as to jurisdiction); waived findings of fact and conclusions of law; and waived any right to appeal from this Order of Preliminary Injunction:

**I.**

**IT IS HEREBY ORDERED**, until further order of this Court , that defendants DeHaan and Lighthouse, and their agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Order of Preliminary Injunction by personal service or otherwise are hereby restrained and enjoined directly or indirectly: (a) while acting knowingly or recklessly, employing devices, schemes, or artifices to defraud any client or prospective client; or (b) engaging in transactions, practices, or courses of business which operate as fraud or deceit upon a client or prospective client, by misrepresenting to clients how their funds will be or have been applied, in violation of Sections 206(1) and 206(2) of the Advisers Act of 1934 [15 U.S.C. §§ 80b-6(1), (2)].

**II.**

**IT IS FURTHER ORDERED** that the Commission may take immediate discovery as necessary, related to the assets and liabilities of the Defendants, and to the amounts of disgorgement and/or civil penalties which may be ordered at a later point against Defendants.

**III.**

**IT IS FURTHER ORDERED** that, until further Order of this Court, the assets of defendants DeHaan and Lighthouse be, and hereby are, frozen. The freeze shall include but not be limited to those funds located in any bank accounts of the Defendants. In addition, proceeds derived from the Lighthouse advisory clients' accounts alleged in the Commission's complaint remaining in the custody and control of defendants DeHaan and Lighthouse (including funds being held in their attorneys' trust accounts) are hereby frozen regardless of where said proceeds are located. Defendants DeHaan and Lighthouse and their officers, agents, servants, employees, attorneys, and all persons in active concert or participation with them, except any trustee, receiver or special fiscal agent that may be appointed by this Court, be, and hereby are, restrained from, directly and indirectly, transferring, setting off, receiving, changing, selling, pledging, assigning, liquidating or otherwise disposing of or withdrawing any assets and property owned by, controlled by, or in the possession of said defendants. This Court further enjoins any disbursement by defendants DeHaan and Lighthouse, their agents, representatives, employees and officers and all persons acting in concert or participation with them, whatever business names they may operate under, of any proceeds derived from the advisory clients' funds as described in the complaint. Defendant DeHaan may have access to

\$2,000 per month, which shall be exempt from the freeze for the purpose of meeting ordinary and necessary living expenses.

**IV.**

**IT IS FURTHER ORDERED** that Defendants and their officers, agents, employees, servants, attorneys, any bank or financial institution holding any assets of the Defendants and all persons in active concert or participation with them, and each of them, are restrained and enjoined from destroying, transferring or otherwise rendering illegible all books, records, papers, ledgers, accounts, statements and other documents employed in any of such Defendants' business, which reflect the business activities of either of the Defendants, or which reflect the transactions described in the Commission's Complaint.

**V.**

**IT IS FURTHER ORDERED** that each defendant prepare and present to this Court and to the Commission a sworn accounting of all funds received by that defendant pursuant to the scheme described in the Commission's Complaint and of the disposition and use of said proceeds. This accounting shall include, but not be limited to, the name and address of each investor/advisory client, the amount invested and/or deposited into each investment advisory clients' accounts, the total amount received from investors/advisory clients, the date each such investment was

made, a detail of all investment advisory fees paid to the Defendants by each investor/investment advisory client and a listing of all expenditures showing the amount and to whom paid and the date of each payment. The accountings shall be submitted to this Court and served upon the Commission within 45 days from the date of entry of this Order.

**VI.**

**IT IS FURTHER ORDERED** that the Consents of defendants DeHaan and Lighthouse are incorporated herein with the same force and effect as if fully set forth herein, and that Defendants shall comply with all of the undertakings and agreements set forth therein.

**VII.**

**IT IS FURTHER ORDERED** that this Order does not preclude the Commission from seeking a permanent injunction, disgorgement and prejudgment interest and the imposition of civil penalties, or any other relief, in this action.

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**VIII.**

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Order of Preliminary Injunction.

Dated: June 11, 2012

/s/Thomas W. Thrash  
UNITED STATES DISTRICT JUDGE